# Separable and inseparable Gromov-Hausdorff categoricity in continuous logic

James Hanson

Department of Mathematics University of Wisconsin-Madison

Graduate Student Conference in Logic XIX, 2018

James Hanson GH Categoricity

ヘロト ヘアト ヘヨト ヘ

# Outline



Background

- Continuous logic
- Gromov-Hausdorff distance
- 2 Approximate categoricity
  - Separable approximate categoricity
  - Inseparable approximate categoricity
- 3 New Phenomena
  - Do ω-categorical, strictly ω<sub>1</sub>-GH-categorical theories exist?
  - Elementary Gromov-Hausdorff distance

ヘロト ヘアト ヘヨト

Continuous logic Gromov-Hausdorff distance

# Syntax of continuous logic

- Continuous logic is a generalization of first-order logic.
   "Fuzzy logic on metric spaces."
- Connectives are arbitrary continuous functions from [0, 1]<sup>n</sup> to [0, 1]. Quantifiers are sup and inf.
- A signature/language is mostly as it is in first-order logic (list of constant symbols and relation and function symbols with designated arities), except...
- A crucial new element is that each relation R or function f symbol has a fixed modulus of uniform continuity  $\alpha_R$  or  $\alpha_f$  that is *specified as part of the language.*
- This means that every formula is uniformly continuous and the modulus of uniform continuity can be computed *syntactically*.

・ロット (雪) ( ) ( ) ( ) ( )

Continuous logic Gromov-Hausdorff distance

# Syntax of continuous logic

- Continuous logic is a generalization of first-order logic.
   "Fuzzy logic on metric spaces."
- Connectives are arbitrary continuous functions from [0, 1]<sup>n</sup> to [0, 1]. Quantifiers are sup and inf.
- A signature/language is mostly as it is in first-order logic (list of constant symbols and relation and function symbols with designated arities), except...
- A crucial new element is that each relation R or function f symbol has a fixed modulus of uniform continuity  $\alpha_R$  or  $\alpha_f$  that is *specified as part of the language.*
- This means that every formula is uniformly continuous and the modulus of uniform continuity can be computed *syntactically*.

ヘロン ヘアン ヘビン ヘビン

Continuous logic Gromov-Hausdorff distance

# Syntax of continuous logic

- Continuous logic is a generalization of first-order logic.
   "Fuzzy logic on metric spaces."
- Connectives are arbitrary continuous functions from [0, 1]<sup>n</sup> to [0, 1]. Quantifiers are sup and inf.
- A signature/language is mostly as it is in first-order logic (list of constant symbols and relation and function symbols with designated arities), except...
- A crucial new element is that each relation R or function f symbol has a fixed modulus of uniform continuity  $\alpha_R$  or  $\alpha_f$  that is *specified as part of the language.*
- This means that every formula is uniformly continuous and the modulus of uniform continuity can be computed *syntactically*.

・ロト ・ 理 ト ・ ヨ ト ・

ъ

Continuous logic Gromov-Hausdorff distance

# Syntax of continuous logic

- Continuous logic is a generalization of first-order logic.
   "Fuzzy logic on metric spaces."
- Connectives are arbitrary continuous functions from [0, 1]<sup>n</sup> to [0, 1]. Quantifiers are sup and inf.
- A signature/language is mostly as it is in first-order logic (list of constant symbols and relation and function symbols with designated arities), except...
- A crucial new element is that each relation *R* or function *f* symbol has a fixed modulus of uniform continuity α<sub>R</sub> or α<sub>f</sub> that is *specified as part of the language.*
- This means that every formula is uniformly continuous and the modulus of uniform continuity can be computed *syntactically*.

・ロ・ ・ 同・ ・ ヨ・ ・ ヨ・

3

Continuous logic Gromov-Hausdorff distance

# Syntax of continuous logic

- Continuous logic is a generalization of first-order logic.
   "Fuzzy logic on metric spaces."
- Connectives are arbitrary continuous functions from [0, 1]<sup>n</sup> to [0, 1]. Quantifiers are sup and inf.
- A signature/language is mostly as it is in first-order logic (list of constant symbols and relation and function symbols with designated arities), except...
- A crucial new element is that each relation R or function f symbol has a fixed modulus of uniform continuity  $\alpha_R$  or  $\alpha_f$  that is *specified as part of the language.*
- This means that every formula is uniformly continuous and the modulus of uniform continuity can be computed *syntactically*.

ヘロン 人間 とくほ とくほ とう

3

Continuous logic Gromov-Hausdorff distance

## Semantics of continuous logic

- For a continuous language L, a metric L-structure, M, is a complete metric space of diameter at most 1 together with points, [0, 1]-valued predicates, and functions corresponding to the constant, relation, and function symbols of L.
- The relations and functions need to obey the corresponding moduli of uniform continuity.
- For an *L*-sentence φ, we say that 𝔐 ⊨ φ if φ evaluates to 0 when computed in 𝔐. (Rationale: *d*(*x*, *y*) = 0 is the same thing as *x* = *y*.)

イロン 不得 とくほ とくほ とうほ

Continuous logic Gromov-Hausdorff distance

# Semantics of continuous logic

- For a continuous language L, a metric L-structure, M, is a complete metric space of diameter at most 1 together with points, [0, 1]-valued predicates, and functions corresponding to the constant, relation, and function symbols of L.
- The relations and functions need to obey the corresponding moduli of uniform continuity.
- For an *L*-sentence φ, we say that 𝔐 ⊨ φ if φ evaluates to 0 when computed in 𝔐. (Rationale: *d*(*x*, *y*) = 0 is the same thing as *x* = *y*.)

<ロ> (四) (四) (三) (三) (三)

Continuous logic Gromov-Hausdorff distance

# Categoricity

### Definition

A continuous first-order theory T is  $\kappa$ -categorical for cardinality  $\kappa$  if it only has one model of metric density character  $\kappa$  up to isomorphism.

#### Theorem (Ben Yaacov, Berenstein, Henson, Usvyatsov)

A countable theory T is  $\omega$ -categorical iff every  $\emptyset$ -type is principal iff every  $S_n(T)$  is metrically compact (think "finite").

#### Theorem (Ben Yaacov; Shelah, Usvyatsov)

A countable theory T is  $\kappa$ -categorical for some  $\kappa \ge \omega_1$ , then it is  $\lambda$ -categorical for all  $\lambda \ge \omega_1$ .

イロン イロン イヨン イヨン

Continuous logic Gromov-Hausdorff distance

# Categoricity

### Definition

A continuous first-order theory T is  $\kappa$ -categorical for cardinality  $\kappa$  if it only has one model of metric density character  $\kappa$  up to isomorphism.

### Theorem (Ben Yaacov, Berenstein, Henson, Usvyatsov)

A countable theory T is  $\omega$ -categorical iff every  $\emptyset$ -type is principal iff every  $S_n(T)$  is metrically compact (think "finite").

#### Theorem (Ben Yaacov; Shelah, Usvyatsov)

A countable theory T is  $\kappa$ -categorical for some  $\kappa \ge \omega_1$ , then it is  $\lambda$ -categorical for all  $\lambda \ge \omega_1$ .

イロト イポト イヨト イヨト

э

Continuous logic Gromov-Hausdorff distance

# Categoricity

### Definition

A continuous first-order theory T is  $\kappa$ -categorical for cardinality  $\kappa$  if it only has one model of metric density character  $\kappa$  up to isomorphism.

### Theorem (Ben Yaacov, Berenstein, Henson, Usvyatsov)

A countable theory T is  $\omega$ -categorical iff every  $\emptyset$ -type is principal iff every  $S_n(T)$  is metrically compact (think "finite").

#### Theorem (Ben Yaacov; Shelah, Usvyatsov)

A countable theory T is  $\kappa$ -categorical for some  $\kappa \ge \omega_1$ , then it is  $\lambda$ -categorical for all  $\lambda \ge \omega_1$ .

イロン イロン イヨン イヨン

э

Background

Approximate categoricity New Phenomena Continuous logic Gromov-Hausdorff distance

## The Hausdorff metric



◆□> ◆□> ◆豆> ◆豆> ・豆 ・ のへで

Continuous logic Gromov-Hausdorff distance

## The Gromov-Hausdorff metric

#### Definition

For metric spaces X and Y,

 $d_{GH}(X, Y) = \inf\{d_{H}(\alpha(X), \beta(Y)) | \alpha : X \to Z, \beta : Y \to Z\},\$ 

for  $\alpha$ ,  $\beta$  isometric embeddings.

◆□▶ ◆□▶ ◆三▶ ◆三▶ ● ● ●

Continuous logic Gromov-Hausdorff distance

### Logical aspects of Gromov-Hausdorff distance

- $d_{GH}(X, Y) = 0$  does not imply  $X \cong Y$ .
- $d_{GH}(X, Y) = 0$  does imply :
  - $X \equiv Y$
  - *X* and *Y* have the same density character and covering numbers (i.e. they're 'the same size').
  - For any non-principal ultrafilter  $\mathcal{F}$  on  $\omega$ ,  $X^{\omega}/\mathcal{F} \cong Y^{\omega}/\mathcal{F}$ .
- *d<sub>GH</sub>* can also be defined in terms of 'correlations' which can be seen as very strong back-and-forth strategies.
- Has natural generalization to Lipschitz languages (although language dependent), but also...

### Theorem (H.)

Continuous logic Gromov-Hausdorff distance

### Logical aspects of Gromov-Hausdorff distance

- $d_{GH}(X, Y) = 0$  does not imply  $X \cong Y$ .
- $d_{GH}(X, Y) = 0$  does imply :
  - *X* ≡ *Y*
  - X and Y have the same density character and covering numbers (i.e. they're 'the same size').
  - For any non-principal ultrafilter  $\mathcal{F}$  on  $\omega$ ,  $X^{\omega}/\mathcal{F} \cong Y^{\omega}/\mathcal{F}$ .
- *d<sub>GH</sub>* can also be defined in terms of 'correlations' which can be seen as very strong back-and-forth strategies.
- Has natural generalization to Lipschitz languages (although language dependent), but also...

### Theorem (H.)

## Logical aspects of Gromov-Hausdorff distance

- $d_{GH}(X, Y) = 0$  does not imply  $X \cong Y$ .
- $d_{GH}(X, Y) = 0$  does imply :
  - *X* ≡ *Y*
  - X and Y have the same density character and covering numbers (i.e. they're 'the same size').
  - For any non-principal ultrafilter  $\mathcal{F}$  on  $\omega$ ,  $X^{\omega}/\mathcal{F} \cong Y^{\omega}/\mathcal{F}$ .
- *d<sub>GH</sub>* can also be defined in terms of 'correlations' which can be seen as very strong back-and-forth strategies.
- Has natural generalization to Lipschitz languages (although language dependent), but also...

### Theorem (H.)

## Logical aspects of Gromov-Hausdorff distance

- $d_{GH}(X, Y) = 0$  does not imply  $X \cong Y$ .
- $d_{GH}(X, Y) = 0$  does imply :
  - $X \equiv Y$
  - X and Y have the same density character and covering numbers (i.e. they're 'the same size').
  - For any non-principal ultrafilter  $\mathcal{F}$  on  $\omega$ ,  $X^{\omega}/\mathcal{F} \cong Y^{\omega}/\mathcal{F}$ .
- *d<sub>GH</sub>* can also be defined in terms of 'correlations' which can be seen as very strong back-and-forth strategies.
- Has natural generalization to Lipschitz languages (although language dependent), but also...

#### Theorem (H.)

Continuous logic Gromov-Hausdorff distance

## Logical aspects of Gromov-Hausdorff distance

- $d_{GH}(X, Y) = 0$  does not imply  $X \cong Y$ .
- $d_{GH}(X, Y) = 0$  does imply :
  - $X \equiv Y$
  - X and Y have the same density character and covering numbers (i.e. they're 'the same size').
  - For any non-principal ultrafilter  $\mathcal{F}$  on  $\omega$ ,  $X^{\omega}/\mathcal{F} \cong Y^{\omega}/\mathcal{F}$ .
- *d<sub>GH</sub>* can also be defined in terms of 'correlations' which can be seen as very strong back-and-forth strategies.
- Has natural generalization to Lipschitz languages (although language dependent), but also...

### Theorem (H.)

Separable approximate categoricity Inseparable approximate categoricity

イロト イポト イヨト イヨト

## Weak Ryll-Nardzewski characterization

#### Definition

A theory *T* is  $\kappa$ -GH-categorical if for any two  $\mathfrak{M}, \mathfrak{N} \models T$  with metric density character  $\kappa$ ,  $d_{GH}(\mathfrak{M}, \mathfrak{N}) = 0$ .

Example of  $\omega$ -GH-categorical: 'Dense discrete pairs.'

Theorem (H., but essentially Ben Yaacov)

For a countable theory T:

- If every Ø-type is 'GH-principal,' then T is ω-GH-categorical.
- A countable theory T is ω-GH-categorical if and only if every ā-type is 'weakly GH-principal' for every finite tuple ā.

The first converse does fail.

Separable approximate categoricity Inseparable approximate categoricity

イロン イロン イヨン イヨン

# Weak Ryll-Nardzewski characterization

#### Definition

A theory *T* is  $\kappa$ -GH-categorical if for any two  $\mathfrak{M}, \mathfrak{N} \models T$  with metric density character  $\kappa$ ,  $d_{GH}(\mathfrak{M}, \mathfrak{N}) = 0$ .

Example of  $\omega$ -GH-categorical: 'Dense discrete pairs.'

### Theorem (H., but essentially Ben Yaacov)

For a countable theory T:

- If every Ø-type is 'GH-principal,' then T is ω-GH-categorical.
- A countable theory T is ω-GH-categorical if and only if every ā-type is 'weakly GH-principal' for every finite tuple ā.

The first converse does fail.

Separable approximate categoricity Inseparable approximate categoricity

# Morley's theorem?

- Example of an  $\omega_1$ -GH-categorical theory: 'sin/cos fenceposts.'
- The 'hard' direction works:

### Theorem (H.)

- If a countable theory T is κ-GH-categorical for some κ ≥ ω<sub>1</sub>, then every model 𝔐 with metric density character κ is 'GH-saturated.'
- If every M ⊨ T with metric density character κ is 'GH-saturated' for some κ ≥ ω<sub>1</sub>, then the same is true for every λ ≥ ω<sub>1</sub>.
- The 'easy' direction (every κ sized model is GH-saturated ⇒ T is κ-GH-categorical) is entirely unclear.
- Problem with 'accumulation of error' at µ<sub>a</sub>, <sub>a</sub>, <sub>a</sub>, <sub>a</sub>, <sub>a</sub>, <sub>a</sub>

Separable approximate categoricity Inseparable approximate categoricity

# Morley's theorem?

- Example of an ω<sub>1</sub>-GH-categorical theory: 'sin/cos fenceposts.'
- The 'hard' direction works:

### Theorem (H.)

- If a countable theory T is κ-GH-categorical for some κ ≥ ω<sub>1</sub>, then every model 𝔐 with metric density character κ is 'GH-saturated.'
- If every M ⊨ T with metric density character κ is 'GH-saturated' for some κ ≥ ω<sub>1</sub>, then the same is true for every λ ≥ ω<sub>1</sub>.
- The 'easy' direction (every κ sized model is GH-saturated ⇒ T is κ-GH-categorical) is entirely unclear.

Separable approximate categoricity Inseparable approximate categoricity

# Morley's theorem?

- Example of an ω<sub>1</sub>-GH-categorical theory: 'sin/cos fenceposts.'
- The 'hard' direction works:

### Theorem (H.)

- If a countable theory T is κ-GH-categorical for some κ ≥ ω<sub>1</sub>, then every model 𝔐 with metric density character κ is 'GH-saturated.'
- If every M ⊨ T with metric density character κ is 'GH-saturated' for some κ ≥ ω<sub>1</sub>, then the same is true for every λ ≥ ω<sub>1</sub>.
- The 'easy' direction (every  $\kappa$  sized model is GH-saturated  $\Rightarrow$  *T* is  $\kappa$ -GH-categorical) is entirely unclear.
- Problem with 'accumulation of error' at \u03c6<sub>1</sub>, \u03c6<sub>2</sub>, \

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

### Which combinations are known to exist?

	$\omega_1$ -cat.	Strictly	N/A
		$\omega_1$ -GH-cal.	
$\omega$ -cat.	Discrete set	?????	DLO
Strictly	Pairs	Tagged sin/cos	Dense
$\omega$ -GH-cat.	limiting to 0	fenceposts	discrete pairs
N/A	Q-vector	sin/cos	ZFC
	space	fenceposts	

 In the very simple case that the metric is uniformly discrete, the missing square is provably impossible.

Related discrete model theory question: Does there exist a sequence of countable languages

 *L*<sub>0</sub> ⊆ *L*<sub>1</sub> ⊆ ··· ⊆ ⋃<sub>n<ω</sub> *L*<sub>n</sub> = *L* and an *L*-theory *T* such that
 *T* is ω-categorical, *T* is not ω<sub>1</sub>-categorical, but for every
 *n* < ω, *T* ↾ *L*<sub>n</sub> is ω<sub>1</sub>-categorical?

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

### Which combinations are known to exist?

	$\omega_1$ -cat.	Strictly $\omega_1$ -GH-cat.	N/A
$\omega$ -cat.	Discrete set	?????	DLO
Strictly	Pairs	Tagged sin/cos	Dense
$\omega$ -GH-cat.	limiting to 0	fenceposts	discrete pairs
N/A	Q-vector	sin/cos	ZFC
	space	fenceposts	

• In the very simple case that the metric is uniformly discrete, the missing square is provably impossible.

Related discrete model theory question: Does there exist a sequence of countable languages

 *L*<sub>0</sub> ⊆ *L*<sub>1</sub> ⊆ ··· ⊆ ⋃<sub>n<ω</sub> *L*<sub>n</sub> = *L* and an *L*-theory *T* such that
 *T* is ω-categorical, *T* is not ω<sub>1</sub>-categorical, but for every
 *n* < ω, *T* ↾ *L*<sub>n</sub> is ω<sub>1</sub>-categorical?

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

## Which combinations are known to exist?

	$\omega_1$ -cat.	Strictly	N/A
		$\omega_1$ -GH-cat.	
$\omega$ -cat.	Discrete set	?????	DLO
Strictly	Pairs	Tagged sin/cos	Dense
$\omega$ -GH-cat.	limiting to 0	fenceposts	discrete pairs
N/A	Q-vector	sin/cos	ZFC
	space	fenceposts	

- In the very simple case that the metric is uniformly discrete, the missing square is provably impossible.
- Related discrete model theory question: Does there exist a sequence of countable languages

   *L*<sub>0</sub> ⊆ *L*<sub>1</sub> ⊆ ··· ⊆ ⋃<sub>n<ω</sub> *L*<sub>n</sub> = *L* and an *L*-theory *T* such that
   *T* is ω-categorical, *T* is not ω<sub>1</sub>-categorical, but for every
   *n* < ω, *T* ↾ *L*<sub>n</sub> is ω<sub>1</sub>-categorical?

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

## The elementary Gromov-Hausdorff metric

#### Definition

For metric structures  $\mathfrak{M}$  and  $\mathfrak{N}$ ,

 $d_{\preceq GH}(\mathfrak{M},\mathfrak{N}) = \inf\{d_{H}(\alpha(\mathfrak{M}),\beta(\mathfrak{N})) | \alpha : \mathfrak{M} \preceq \mathfrak{C}, \beta : \mathfrak{N} \preceq \mathfrak{C}\},\$ 

for  $\alpha$ ,  $\beta$  elementary embeddings.

### Again, $d_{\preceq GH}(\mathfrak{M}, \mathfrak{N}) = 0$ does not imply $\mathfrak{M} \cong \mathfrak{N}$ , but...

#### Theorem (H.)

For any infinite  $\kappa$ , approximate  $\kappa$ -categoricity with regards to  $d_{\leq GH}$  implies  $\kappa$ -categoricity.

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

## The elementary Gromov-Hausdorff metric

#### Definition

For metric structures  $\mathfrak{M}$  and  $\mathfrak{N}$ ,

 $d_{\preceq GH}(\mathfrak{M},\mathfrak{N}) = \inf\{d_{H}(\alpha(\mathfrak{M}),\beta(\mathfrak{N})) | \alpha : \mathfrak{M} \preceq \mathfrak{C}, \beta : \mathfrak{N} \preceq \mathfrak{C}\},\$ 

for  $\alpha$ ,  $\beta$  elementary embeddings.

Again,  $d_{\preceq GH}(\mathfrak{M}, \mathfrak{N}) = 0$  does not imply  $\mathfrak{M} \cong \mathfrak{N}$ , but...

#### Theorem (H.)

For any infinite  $\kappa$ , approximate  $\kappa$ -categoricity with regards to  $d_{\leq GH}$  implies  $\kappa$ -categoricity.

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

## The elementary Gromov-Hausdorff metric

#### Definition

For metric structures  $\mathfrak{M}$  and  $\mathfrak{N}$ ,

 $d_{\preceq GH}(\mathfrak{M},\mathfrak{N}) = \inf\{d_{H}(\alpha(\mathfrak{M}),\beta(\mathfrak{N})) | \alpha : \mathfrak{M} \preceq \mathfrak{C}, \beta : \mathfrak{N} \preceq \mathfrak{C}\},\$ 

for  $\alpha$ ,  $\beta$  elementary embeddings.

Again,  $d_{\prec GH}(\mathfrak{M}, \mathfrak{N}) = 0$  does not imply  $\mathfrak{M} \cong \mathfrak{N}$ , but...

### Theorem (H.)

For any infinite  $\kappa$ , approximate  $\kappa$ -categoricity with regards to  $d_{\leq GH}$  implies  $\kappa$ -categoricity.

Do  $\omega$ -categorical, strictly  $\omega_1$ -GH-categorical theories exist? Elementary Gromov-Hausdorff distance

## The elementary Gromov-Hausdorff metric

#### Definition

For metric structures  $\mathfrak{M}$  and  $\mathfrak{N}$ ,

 $d_{\preceq GH}(\mathfrak{M},\mathfrak{N}) = \inf\{d_{H}(\alpha(\mathfrak{M}),\beta(\mathfrak{N})) | \alpha : \mathfrak{M} \preceq \mathfrak{C}, \beta : \mathfrak{N} \preceq \mathfrak{C}\},\$ 

for  $\alpha$ ,  $\beta$  elementary embeddings.

Again,  $d_{\prec GH}(\mathfrak{M}, \mathfrak{N}) = 0$  does not imply  $\mathfrak{M} \cong \mathfrak{N}$ , but...

### Theorem (H.)

For any infinite  $\kappa$ , approximate  $\kappa$ -categoricity with regards to  $d_{\leq GH}$  implies  $\kappa$ -categoricity.