Definability and Categoricity in Continuous Logic

James Hanson

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Definability and Categoricity

April 21, 2020 1 / 29

Definability

■ Generalization of first-order logic for *metric structures*: Complete bounded metric spaces with bounded uniformly continuous ℝ-valued predicates and uniformly continuous functions.

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- Example: A structure M satisfies $\sup_{xyz} \max\{d(x, y), d(y, z)\} - d(x, z) \le 0$ if and only if (M, d) is an ultrametric space (i.e. $\forall xyz(d(x, z) \le \max\{d(x, y), d(y, z)\}))$.

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- Zeroset of a formula is the set of all tuples where it evaluates to 0. (Also refers to corresponding set of types.)

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A *definable set* is a zeroset whose distance predicate is given by a formula.

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- Characterized by compatibility with ultrapowers: $D(M^{U}) = D(M)^{U}$.
- Is equivalent to ordinary definition in discrete structures.
- Not every formula corresponds to a definable set!
- Not closed under intersections!

The Gelfand spectrum of this Banach algebra, written $S_{\bar{x}}(T)$ or $S_n(T)$, is the space of *n*-types over T.

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- $S_n(T)$ may fail to be zero-dimensional.
- Continuous function $S_n(T) \to \mathbb{R}$ correspond precisely to formulas with free variables among \bar{x} (modulo T).
- Points (called *types*) are maximal consistent sets of real values for formulas.

• For types $p, q \in S_n(T)$,

$$d(p,q) = \inf\{d^M(\bar{a},\bar{b}): M \models p(\bar{a}), q(\bar{b})\}.$$

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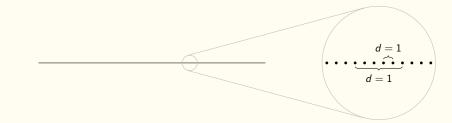
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- Similar to the relationship between weak* and norm topologies on the unit ball of dual Banach spaces.

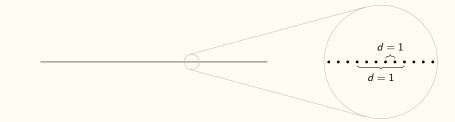
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- d is a metric that refines the normal topology on $S_n(T)$.
- Similar to the relationship between weak* and norm topologies on the unit ball of dual Banach spaces.
- A closed subset D ⊆ S_{x̄}(T) is definable if and only if D^{<ε} is open for every ε > 0.

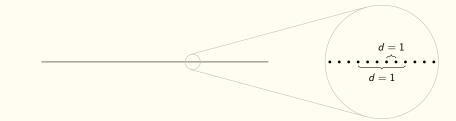


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There is also a type space homeomorphic to [0,1] with $d(x,y) = \max\{x,y\}$ for $x \neq y$. Has precisely 1 non-trivial definable set.

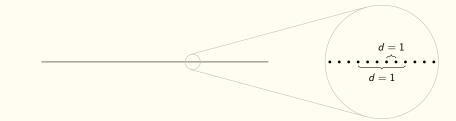


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Idea: Build a circuit.

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A type space is *dictionaric* if it has a basis of definable neighborhoods.

Examples: Discrete theories and randomizations of discrete theories.

Theorem (H.)

The following are equivalent:

- 1 $S_n(T)$ is dictionaric.
- 2 Definable sets separate disjoint closed subsets of $S_n(T)$.
- 3 For every disjoint closed $F, G \subseteq S_n(T)$, there is a definable set D such that either $F \subseteq D$ and $D \cap G = \emptyset$ or $G \subseteq D$ and $D \cap F = \emptyset$.
- 4 $S_n(T)$ has a network of definable sets (i.e. for every $p \in U \subseteq S_n(T)$, there is a definable set D such that $p \in D \subseteq U$).
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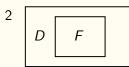


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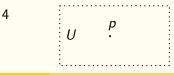
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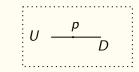


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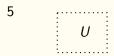


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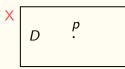
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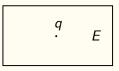
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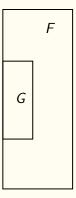
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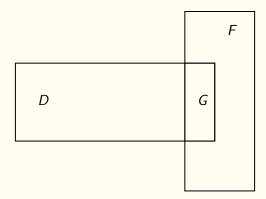
Proposition (Extension)

If $S_n(T)$ is dictionaric and $G \subseteq F \subseteq S_n(T)$ are closed sets such that G is 'relatively definable in F' (for every $\varepsilon > 0$, $G \subseteq \operatorname{int}_F G^{<\varepsilon}$), then there is a definable set $D \subseteq S_n(T)$ such that $D \cap F = G$.



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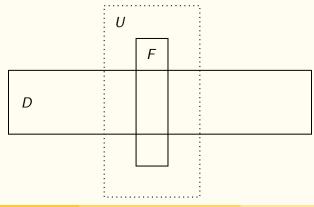
Proposition (Hereditariness to Definable Subsets)

If $S_n(T)$ is dictionaric and $D \subseteq S_n(T)$ is definable, then D is dictionaric as well.

Nice Properties of Dictionaric Type Spaces III

Proposition (Approximate Intersection)

If $S_n(T)$ is dictionaric, $D \subseteq S_n(T)$ is definable, and $F \subseteq U \subseteq S_n(T)$ are closed and open, respectively, then there is a definable set E such that $F \subseteq E \subseteq U$ and $D \cap E$ is definable.

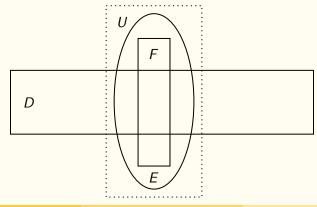


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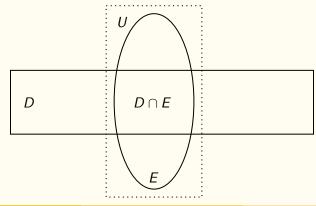
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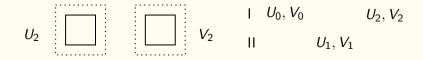
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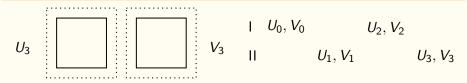
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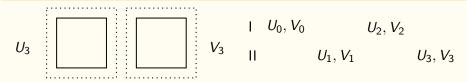
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Give a set of ordered separators P, the separator game with payoff set P is a game in which two players alternate playing strict separators (U_i, V_i) satisfying $U_i \supseteq U_{i-1}$ and $V_i \supseteq V_{i-1}$. Player II wins if and only if $(\bigcup U_i, \bigcup V_i) \in P$. A set of ordered separators is generic if Player II has a winning strategy in the separator game with payoff set P.



Example Application: A compact metric space has topological dimension $\leq n$ if and only if $\{(U, V) : \dim(X \setminus (U \cup V)) \leq n-1\}$ is generic.

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While arbitrary definable sets can be bad, generic definable sets in dictionaric type spaces are very nice. A generic definable set D satisfies:

• $D \cap E$ is definable (and generic in E) for a fixed definable set E.

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- $D(x, y) \cap D(y, x)$ is definable (but not generic).

Categoricity

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Theorem (Morley)

If a countable theory is categorical in some uncountable cardinality, then it is categorical in every uncountable cardinality.

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Theorem (Baldwin, Lachlan)

A theory is uncountably categorical iff it is $\omega\text{-stable}$ and has no Vaughtian pairs.

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A theory is κ -categorical if it has a unique model of cardinality κ .

Theorem (Morley)

If a countable theory is categorical in some uncountable cardinality, then it is categorical in every uncountable cardinality.

Theorem (Baldwin, Lachlan)

A theory is uncountably categorical iff it is $\omega\text{-stable}$ and has no Vaughtian pairs.

These ingredients give you: A set with a good dimension theory (strongly minimal, from ω -stable) that 'controls' everything (no Vaughtian pairs).

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Converse?

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 $S_1(H)$ for $H \models$ IHS. (Not drawn topologically.)

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- ...does not have any strongly minimal types (see picture).
- IHS does not even interpret a strongly minimal theory.



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Has a unique non-algebraic type over any parameters. Such types are also called *strongly minimal*.

Strongly Minimal Types

Definition

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Let A be the structure that is a pure metric space whose universe is ω^2 with $d((i,j), (k, \ell)) = 1$ when $j \neq \ell$ and $d((i,j), (k,j)) = 2^{-j}$.

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The type space $S_1(\emptyset)$ of Th(A), topologically homeomorphic to $\omega + 1$. Limit type is strongly minimal but not contained in a \emptyset -definable strongly minimal set.

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- The home sort in the previous example is approximately strongly minimal.

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If \mathcal{T} is a dictionaric theory with no Vaughtian pairs, then minimal sets are strongly minimal.

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Strongly Minimal Sets in the Prime Model

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For every $n \leq \omega$ there is an inseparably categorical theory T_n with a \emptyset -definable strongly minimal imaginary I such that dim(I) can be anything $\leq \omega$ but $S_1(A)$ has a strongly minimal type iff $\dim(I(A)) \geq n$.

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Which, of course, raises the question: When can we find strongly minimal types?

Continuous logic introduces two new difficulties:

- Lack of local compactness (of models).
- Lack of total disconnectedness (of type spaces).

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Example: The theory of $(\mathbb{R}, +)$ with the metric min $\{|x - y|, 1\}$, which is strongly minimal but does not interpret a discrete strongly minimal theory.

A theory \mathcal{T} has totally disconnected type spaces iff it is dictionaric and has a \varnothing -definable ultrametric that is uniformly equivalent to the metric. Such theories are bi-interpretable with many-sorted discrete theories.

Not all ultrametric theories are dictionaric.

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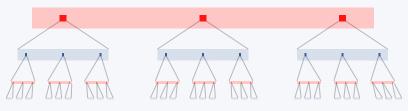
Example: The theory of the *p*-adic Banach space L^{∞} with scalars in \mathbb{Z}_p (i.e. \mathbb{Z}_p^{ω} with the sup norm). This is also an example of an inseparably categorical theory with a strongly minimal imaginary but no strongly minimal sets.

Can we bring the assumption down to 'no Vaughtian pairs' rather than 'no imaginary Vaughtian pairs' for ultrametric theories?

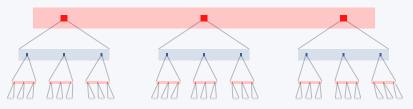
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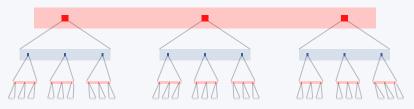
There is an ω -stable ultrametric theory with no Vaughtian Pairs⁺ which fails to be inseparably categorical.



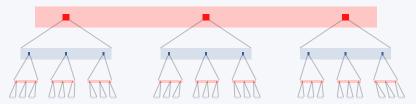
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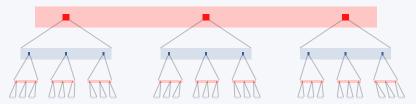
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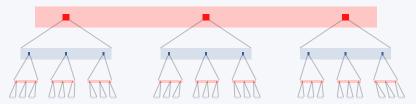
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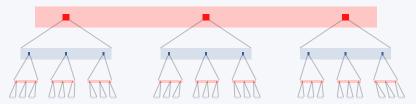
Idea: Take two discrete affine spaces (i.e. vector spaces with 0 'forgotten'), V and W. Let $A = (V \times W)^{\omega}$ with an appropriate product metric. Give A the structure of an infinite wreath product: Add relations that give a bijection between any pair $\{\sigma\} \times V$ and $\{\tau\} \times V$ for any $\sigma, \tau \in (V \times W)^{<\omega}$ with even length if provided σ, τ , and an element of both of the copies of V as parameters (and likewise for W with σ and τ of odd length).



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Definability and Categoricity

Thank you